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PTO/SB/05 (12/97)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

new nonprovisional applications under  
37 CFR 1.53(b)

Attorney Docket No. G-1265H

First Named Inventor or Application Identifier

Jiban K. Chakrabarti, Terrence M. Hotten and  
David E. Tupper

Express Mail Label No.

EL041985928US

**Application Elements**

See MPEP chapter 600 concerning utility patent  
application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (Submit an  
original, and a duplicate for fee processing)

6. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification [Total 32 ]  
(preferred arrangement  
set forth below) Pages

7. Nucleotide and/or Amino Acid Sequence Submission (if  
applicable, all necessary)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

- Abstract of the Disclosure

3. ☐ Drawing(s) (35 USC [Total  
113) Sheets ]

4. ☐ Oath or Declaration [Total  
Pages ]

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR  
1.63(d)) (for continuation/divisional with  
Box 17 completed) [Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S) Signed statement  
attached deleting inventor(s) named in  
the prior application, see 37 CFR  
1.63(d) (2) and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4b is  
checked) The entire disclosure of the prior  
application, from which a copy of the oath or  
declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the  
accompanying application and is hereby  
incorporated by reference therein.

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney  
10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503) (Should be  
specifically itemized)

14. ☐ Small Entity ☐ Statement filed in prior  
Statement(s) application, Status still  
proper and desired

15. ☐ Certified Copy of Priority Document(s) (if foreign  
priority is claimed)

16. ☐ Other: XXX

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application serial number 08/748,292, filed  
November 13, 1996, now allowed  
No:

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label	(Insert Customer No. Or Attach bar code label here)	or	Correspondence address below
NAME	Arleen Palmberg		
	Eli Lilly and Company/DC 1104/AP		
ADDRESS	Lilly Corporate Center		
	Patent Division DC: 1104		
CITY	Indianapolis	STATE	Indiana
		ZIP CODE	46285
COUNTRY	U.S.A.	TELEPHONE	317-276-6015
		FAX	317-276-2763

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Date of Deposit July 24, 1998

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OLGA M. FRANZ

Printed Name

Olga M. Franz  
Signature

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**FEE TRANSMITTAL**

Note: Effective October 1, 1997.

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$)**790.00****Complete if Known**

<b>Application Number</b>	Accompanying Application
<b>Filing Date</b>	July 24, 1998
<b>First Named Inventor</b>	Jiban K. Chakrabarti, et al.
<b>Group Art Unit</b>	
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	G-1265H

**METHOD OF PAYMENT** (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: **05-0840**

Deposit Account Name: **Eli Lilly and Company**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:☐ Check ☐ Money Order ☐ Other**FEE CALCULATION**1. **FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	790	201	395	Utility filing fee	790
106	330	206	165	Design filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1)** (\$)**790.00**2. **CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
2	-20=	0	X 22 = 0.00
1	-3=	0	X 82 = 0.00
Multiple Dependent Claims			X =

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	22	203	11	Claims in excess of 20
102	82	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	82	209	41	Reissue independent claims over original patent
110	22	210	11	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)**0.00**3. **ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	950	217	475	Extension for reply within third month	
118	1,510	218	755	Extension for reply within fourth month	
128	2,060	228	1,030	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1,320	241	660	Petition to revive-unintentional	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design Issue Fee	
144	670	244	335	Plant Issue Fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**0.00****SUBMITTED BY**

Typed or Printed Name	Arleen Palmberg	Complete (if applicable)	Reg. Number	40,422
Signature	<i>Arleen Palmberg</i>	Date	7/24/98	

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**OLGA M. FRANZ**

Printed Name

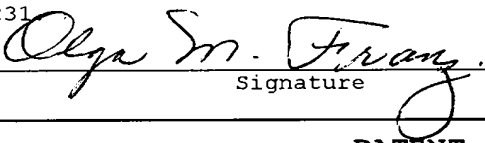
*Olga M Franz*  
Signature

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Olga M. Franz  
Printed Name

  
Signature

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Accompanying Application

Applicants : Jiban K. Chakrabarti, Terrence M. Hotten, and  
David E. Tupper

For : 2-Methyl-thieno-benzodiazepine

Docket No. : G-1265H

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents

Washington, D. C. 20231

Sir:

Please amend the accompanying application as  
follows:

In the Specification

Delete the title "2-Methyl-thieno-benzodiazepine"  
and substitute therefore --PROCESS FOR PREPARING 2-METHYL-  
THIENO-BENZODIAZEPINE--.

In the Claims

Delete Claims 1-7 and add new Claim 8.

8. A method of preparing 2-methyl-4-(4-

methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]-benzodiazepine comprising the following steps:

A.) preparing 2-amino-5-methylthiophene-3-carbonitrile by mixing sulfur, propional-dehyde in dimethyl formamide, then adding triethyl amine, then adding malononitrile;

B) preparing 2-(2-nitroanilino)-5-methylthiophene-3-carbonitrile from the reaction product of step (A) by reaction with a slurry of sodium hydride dispersed in oil in tetrahydrofuran and 2-fluoro-nitrobenze;

C) preparing 4-amino-2-methyl-10H-thiono[2,3-b][1,5]benzodiazepine hydrochloride from the reaction product of step (B) by reacting with a slurry of 2-(2-nitroanilino)-5-methyl-thiophene-3-carbonitrile in ethanol and a solution of anhydrous stannous chloride in hydrochloric acid;

D) preparing 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine by refluxing the reaction product of step (C) with a mixture of N-methylpiperazine, dimethylsulphoxide and toluene.

#### Remarks

New Claim 8 is drawn to a process for preparing a compound of the invention. This process invention was disclosed but not claimed in all parent applications of the

instant patent application. Antecedent basis for Claim 8 is found in Example 1 of the Specification.

Summary

The Examiner is asked to enter the amendment to the specification on the claim presented herein.

Respectfully submitted,

*Arleen Palmberg*

Arleen Palmberg  
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Registration No. 40,422  
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Eli Lilly and Company  
Patent Division/DC 1104/AP  
Lilly Corporate Center  
Indianapolis, Indiana 46285

*July 24, 1998*

Title

## 2-Methyl-thieno-benzodiazepine

Cross Reference

5 This application is a continuation-in-part of 08/387,997,  
filed February 13, 1995, now allowed, which was a  
continuation in part of application serial number  
08/044,844, filed April 8, 1993, now abandoned, which was a  
10 continuation-in-part of pending application serial number  
07/890,348, filed May 22, 1992, which issued as U.S. Patent  
Number 5,229,382, which was a continuation of serial number  
07/690,143, filed April 23, 1991, now abandoned.

15 This invention relates to novel organic compounds  
and the use thereof as pharmaceuticals.

Currently there are many drugs available for the  
treatment of disorders of the central nervous system.  
Amongst these drugs is a category known as antipsychotics  
20 for treating serious mental conditions such as psychosis,  
including but not limited to schizophrenia and  
schizophreniform illnesses. The skilled artisan will  
recognize that these psychotic conditions are characterized  
by hallucinations, delusions, or grossly disorganized  
25 behavior which indicate that the patient suffers from gross  
impairment in reality testing. Drugs having said  
antipsychotic activity can be useful for treating a variety  
of important psychotic disorders.

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Olga M. Franz  
Signature

The drugs available for such conditions are often associated with undesirable side effects, and there is a need for better products that control or eliminate the symptoms in a safer and more effective way. Furthermore,  
5 many patients do not respond or only partially respond to present drug treatment, and estimates of such partial- or non-responders vary between 40% and 80% of those treated.

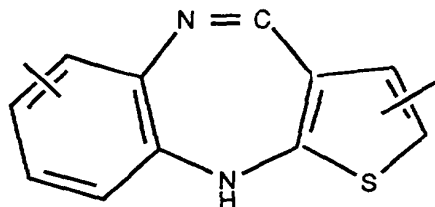
Ever since antipsychotics were introduced it has  
10 been observed that patients are liable to suffer from drug-induced extrapyramidal symptoms which include drug-induced Parkinsonism, acute dystonic reactions, akathisia, tardive dyskinesia and tardive dystonia. The Simpson Angus Scale, Barnes Akathisia Rating Scale and Abnormal Involuntary  
15 Movement Scale (AIMS) are well known scales for assessing extrapyramidal symptoms. The great majority of drugs available for treatment of schizophrenia are prone to produce these extrapyramidal side effects when used at dosages that yield a beneficial effect on the symptoms of  
20 the disease. The severity of adverse events and/or lack of efficacy in a considerable number of patients frequently results in poor compliance or termination of treatment.

Many of the drugs are associated with a sedative effect and may also have an undesirable influence on the  
25 affective symptoms of the disease, causing depression. In some instances long term use of the drug leads to irreversible conditions, such as the tardive dyskinesia and tardive dystonia referred to *supra*.

A widely-used antipsychotic, haloperidol, is one  
30 such drug, which has been reported as causing a high incidence of extrapyramidal symptoms and may also cause tardive dyskinesia. More recently, clozapine, one of a large group of heterocyclic antipsychotics, has been introduced with the claim that it is free from  
35 extrapyramidal effects. However, the compound was found to cause agranulocytosis in some patients, a condition resulting in a lowered white blood cell count which can be

life-threatening, and it may now only be employed under very strict medical observation and supervision.

A further group of antipsychotic compounds is that described in British Patent 1 533 235. These include  
5 thieno-benzodiazepines having the following structural nucleus.



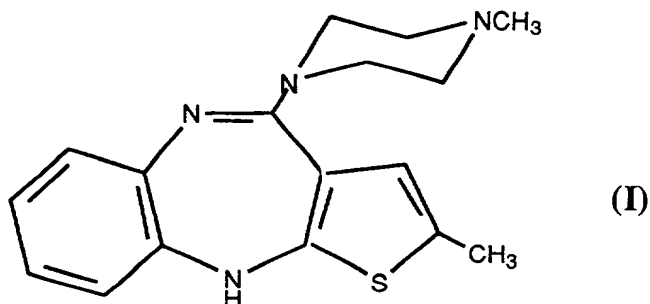
10 The lead compound from this group, flumezapine, (7-fluoro-2-methyl-10-(4-methyl-1-piperazinyl)-4H-thieno[2,3-b][1,5]-benzodiazepine), was developed to the stage of being clinically administered to psychiatric patients suffering from schizophrenia. A total of 17  
15 patients received treatment with flumezapine before the clinical trial was terminated after consultation with the U.S. Food and Drug Administration, because of an unacceptably high incidence of raised enzyme levels in the treated patients. The enzyme, creatinine phosphokinase (CPK), and the liver enzymes, serum glutamate oxalacetic  
20 transaminase (SGOT) and serum glutamate pyruvate transaminase (SGPT), estimated from blood samples taken from patients, were in substantial excess of normal values, indicating the possibility of toxicity. In respect of its  
25 tendency to raise liver enzyme levels, flumezapine is similar to chlorpromazine, an antipsychotic which has long been in use but whose safety has been called into question.

In clinical trials with flumezapine two of the patients showed the emergence of extrapyramidal side  
30 effects as measured on the AIMS scale referred to above.

We have now discovered a compound which possesses surprising and unexpected properties by comparison with flumezapine and other related compounds.



The 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine compound is of the formula



or an acid addition salt thereof. The free base of formula (I) is 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine.

The 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine compound has given surprising and excellent results, described in greater detail below, in experimental screens for testing activity on the central nervous system and in clinical trials, which results suggest its usefulness for the relatively safe and effective treatment of a wide range of disorders of the central nervous system.

The results of pharmacological tests show that 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine is an antagonist of dopamine at D-1 and D-2 receptors, and in addition has antimuscarinic anticholinergic properties and antagonist activity at 5HT-2 receptor sites. It also has antagonist activity at noradrenergic  $\alpha$ -receptors. These properties indicate that the compound is a potential neuroleptic with relaxant, anxiolytic and anti-emetic properties, and may be useful in treating psychotic conditions such as, but not limited to, schizophrenia, schizophreniform diseases and mania. At lower doses the compound is indicated for use in the treatment of mild anxiety states. The properties of 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine suggest that it would be useful in

the treatment of any pathologic psychologic condition, where delusions, hallucinations, disorganized behavior, or anxiety are consistent with manifestation of that pathologic condition.

5 Pathologic psychological conditions which are psychoses or may be associated with psychotic features include, but are not limited to the following disorders which have been characterized in the DSM-III-R. Diagnostic and Statistical Manual of Mental Disorders, Revised, 3rd  
10 Ed. (1980). The DSM-III-R was prepared by the Task Force on Nomenclature and Statistics of the American Psychiatric Association, and provides clear descriptions of diagnostic catagories. The numbers in parenthesis refer to the DSM-III-R categories. The skilled artisan will recognize that  
15 there are alternative nomenclatures, nosologies, and classification systems for pathologic psychological conditions and that these systems evolve with medical scientific progress.

20 Examples of pathologic psychologic conditions which may be treated using 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine include, but are not limited to, Moderate Mental Retardation (318.00), Severe Mental Retardation (318.10), Profound Mental Retardation (318.20), Unspecified Mental Retardation  
25 (319.00), Autistic disorder (299.00), Pervasive Development Disorder NOS (299.80), Attention-deficit Hyperactivity Disorder (314.01), Conduct Disorder, Group Type (312.20), Conduct Disorder, Solitary Aggressive Type (312.00), Conduct Disorder, Undifferentiated Type (312.90), Tourette's Disorder (307.23), Chronic Motor Or Vocal Tic Disorder (307.22),  
30 Transient Tic Disorder (307.21), Tic Disorder NOS (307.20), Primary Degenerative Dementia of the Alzheimer Type, Senile Onset, with Delirium (290.30), Primary Degenerative Dementia of the Alzheimer Type, Senile Onset, with Delusions (290.20),  
35 Primary Degenerative Dementia of the Alzheimer Type, Senile Onset, with Depression (290.21), Primary Degenerative Dementia of the Alzheimer Type, Senile Onset, Uncomplicated

(290.00), Primary Degenerative Dementia of the Alzheimer Type, Presenile Onset, with Delirium (290.11), Primary Degenerative Dementia of the Alzheimer Type, Presenile Onset, with Delusions (290.12), Primary Degenerative Dementia of the Alzheimer Type, Presenile Onset, with Depression (290.13), Primary Degenerative Dementia of the Alzheimer Type, Presenile Onset, Uncomplicated (290.10), Multi-infarct dementia, with Delirium (290.41), Multi-infarct dementia, with Delusions (290.42), Multi-infarct dementia, with Depression (290.43), Multi-infarct dementia, Uncomplicated (290.40), Senile Dementia NOS (290.00), Presenile Dementia NOS (290.10), Alcohol Withdrawal Delirium (291.00), Alcohol Hallucinosi s (291.30), Alcohol Dementia Associated with Alcoholism (291.20), Amphetamine or Similarly Acting Sympathomimetic Intoxication (305.70), Amphetamine or Similarly Acting Sympathomimetic Delirium (292.81), Amphetamine or Similarly Acting Sympathomimetic Delusional Disorder (292.11), Cannabis Delusional Disorder (292.11), Cocaine Intoxication (305.60), Cocaine Delirium (292.81), Cocaine Delusional Disorder (292.11), Hallucinogen Hallucinosi s (305.30), Hallucinogen Delusional Disorder (292.11), Hallucinogen Mood Disorder (292.84), Hallucinogen Posthallucinogen Perception Disorder (292.89), Phencyclidine (PCP) or Similarly Acting Arylcyclohexylamine Intoxication (305.90), Phencyclidine (PCP) or Similarly Acting Arylcyclohexylamine Delirium (292.81), Phencyclidine (PCP) or Similarly Acting Arylcyclohexylamine Delusional Disorder (292.11), Phencyclidine (PCP) or Similarly Acting Arylcyclohexylamine Mood Disorder (292.84), Phencyclidine (PCP) or Similarly Acting Arylcyclohexylamine Organic Mental Disorder NOS (292.90), Other or Unspecified Psychoactive Substance Intoxication (305.90), Other or Unspecified Psychoactive Substance Delirium (292.81), Other or Unspecified Psychoactive Substance Dementia (292.82), Other or Unspecified Psychoactive Substance Delusional Disorder (292.11), Other or Unspecified Psychoactive Substance Hallucinosi s (292.12), Other or Unspecified Psychoactive

Substance Mood Disorder (292.84), Other or Unspecified  
Psychoactive Substance Anxiety Disorder (292.89), Other or  
Unspecified Psychoactive Substance Personality Disorder  
(292.89), Other or Unspecified Psychoactive Substance Organic  
5 Mental Disorder NOS (292.90), Delirium (293.00), Dementia  
(294.10), Organic Delusional Disorder (293.81), Organic  
Hallucinosi s (293.82), Organic Mood Disorder (293.83),  
Organic Anxiety Disorder (294.80), Organic Personality  
Disorder (310.10), Organic Mental Disorder (294.80),  
10 Obsessive Compulsive Disorder (300.30), Post-traumatic Stress  
Disorder (309.89), Generalized Anxiety Disorder (300.02),  
Anxiety Disorder NOS (300.00), Body Dysmorphic Disorder  
(300.70), Hypochondriasis (or Hypochondriacal Neurosis)  
(300.70), Somatization Disorder (300.81), Undifferentiated  
15 Somatoform Disorder (300.70), Somatoform Disorder NOS  
(300.70), Intermittent Explosive Disorder (312.34),  
Kleptomania (312.32), Pathological Gambling (312.31),  
Pyromania (312.33), Trichotillomania (312.39), and Impulse  
Control Disorder NOS (312.39).  
20           Schizophrenia, Catatonic, Subchronic, (295.21),  
Schizophrenia, Catatonic, Chronic (295.22), Schizophrenia,  
Catatonic, Subchronic with Acute Exacerbation (295.23),  
Schizophrenia, Catatonic, Chronic with Acute Exacerbation  
(295.24), Schizophrenia, Catatonic, in Remission (295.55),  
25 Schizophrenia, Catatonic, Unspecified (295.20),  
Schizophrenia, Disorganized, Subchronic (295.11),  
Schizophrenia, Disorganized, Chronic (295.12), Schizophrenia,  
Disorganized, Subchronic with Acute Exacerbation (295.13),  
Schizophrenia, Disorganized, Chronic with Acute Exacerbation  
30 (295.14), Schizophrenia, Disorganized, in Remission (295.15),  
Schizophrenia, Disorganized, Unspecified (295.10),  
Schizophrenia, Paranoid, Subchronic (295.31), Schizophrenia,  
Paranoid, Chronic (295.32), Schizophrenia, Paranoid,  
Subchronic with Acute Exacerbation (295.33), Schizophrenia,  
35 Paranoid, Chronic with Acute Exacerbation (295.34),  
Schizophrenia, Paranoid, in Remission (295.35),  
Schizophrenia, Paranoid, Unspecified (295.30), Schizophrenia,

Undifferentiated, Subchronic (295.91), Schizophrenia,  
Undifferentiated, Chronic (295.92), Schizophrenia,  
Undifferentiated, Subchronic with Acute Exacerbation  
(295.93), Schizophrenia, Undifferentiated, Chronic with Acute  
5 Exacerbation (295.94), Schizophrenia, Undifferentiated, in  
Remission (295.95), Schizophrenia, Undifferentiated,  
Unspecified (295.90), Schizophrenia, Residual, Subchronic  
(295.61), Schizophrenia, Residual, Chronic (295.62),  
Schizophrenia, Residual, Subchronic with Acute Exacerbation  
10 (295.63), Schizophrenia, Residual, Chronic with Acute  
Exacerbation (295.94), Schizophrenia, Residual, in Remission  
(295.65), Schizophrenia, Residual, Unspecified (295.60),  
Delusional (Paranoid) Disorder (297.10), Brief Reactive  
Psychosis (298.80), Schizophreniform Disorder (295.40),  
15 Schizoaffective Disorder (295.70), Induced Psychotic Disorder  
(297.30), Psychotic Disorder NOS (Atypical Psychosis)  
(298.90), Bipolar Disorder, Mixed, Severe, without Psychotic  
Features (296.63), Bipolar Disorder, Manic, Severe, without  
Psychotic Features (296.43), Bipolar Disorder, Depressed,  
20 Severe, without Psychotic Features (296.53), Major  
Depression, Single Episode, Severe, without Psychotic  
Features (296.23), Major Depression, Recurrent, Severe,  
without Psychotic Features (296.33), Bipolar Disorder, Mixed,  
with Psychotic Features (296.64), Bipolar Disorder, Manic,  
25 with Psychotic Features (296.44), Bipolar Disorder,  
Depressed, with Psychotic Features (296.54), Bipolar Disorder  
NOS (296.70), Major Depression, Single Episode, with  
Psychotic Features (296.24), Major Depression, Recurrent with  
Psychotic Features (296.34) Personality Disorders, Paranoid  
30 (301.00), Personality Disorders, Schizoid (301.20),  
Personality Disorders, Schizotypal (301.22), Personality  
Disorders, Antisocial (301.70), and Personality Disorders,  
Borderline (301.83).

35 Preferably, an effective amount of 2-methyl-4-  
(4-methyl-1-piperazinyl)-10H-thieno-[2,3-  
b][1,5]benzodiazepine, or an acid addition salt thereof, is  
used for the treatment of Moderate Mental Retardation;

Severe Mental Retardation; Profound Mental Retardation;  
Autistic disorder; Pervasive Development Disorder NOS;  
Conduct Disorder, Group Type; Conduct Disorder, Solitary  
Aggressive Type; Tourette's Disorder; Primary Degenerative  
5 Dementia of the Alzheimer Type, Senile Onset, with  
Delirium; Primary Degenerative Dementia of the Alzheimer  
Type, Senile Onset, with Delusions; Schizophrenia,  
Catatonic, Subchronic; Schizophrenia, Catatonic, Chronic;  
Schizophrenia, Catatonic, Subchronic with Acute  
10 Exacerbation; Schizophrenia, Catatonic, Chronic with Acute  
Exacerbation; Schizophrenia, Catatonic, in Remission;  
Schizophrenia, Catatonic, Unspecified; Schizophrenia,  
Disorganized, Subchronic; Schizophrenia, Disorganized,  
Chronic; Schizophrenia, Disorganized, Subchronic with Acute  
15 Exacerbation; Schizophrenia, Disorganized, Chronic with  
Acute Exacerbation; Schizophrenia, Disorganized, in  
Remission; Schizophrenia, Disorganized, Unspecified;  
Schizophrenia, Paranoid, Subchronic; Schizophrenia,  
Paranoid, Chronic; Schizophrenia, Paranoid, Subchronic with  
20 Acute Exacerbation; Schizophrenia, Paranoid, Chronic with  
Acute Exacerbation; Schizophrenia, Paranoid, in Remission;  
Schizophrenia, Paranoid, Unspecified; Schizophrenia,  
Undifferentiated, Subchronic; Schizophrenia,  
Undifferentiated, Chronic; Schizophrenia, Undifferentiated,  
25 Subchronic with Acute Exacerbation; Schizophrenia,  
Undifferentiated, Chronic with Acute Exacerbation;  
Schizophrenia, Undifferentiated, in Remission;  
Schizophrenia, Undifferentiated, Unspecified;  
Schizophrenia, Residual, Subchronic; Schizophrenia,  
30 Residual, Chronic; Schizophrenia, Residual, Subchronic with  
Acute Exacerbation; Schizophrenia, Residual, Chronic with  
Acute Exacerbation; Schizophrenia, Residual, in Remission;  
Schizophrenia, Residual, Unspecified; Delusional (Paranoid)  
Disorder; Brief Reactive Psychosis; Schizophreniform  
35 Disorder; Schizoaffective Disorder; Induced Psychotic  
Disorder; Psychotic Disorder NOS (Atypical  
Psychosis); Bipolar Disorder, Mixed, with Psychotic

Features; Bipolar Disorder, Manic, with Psychotic Features;  
Bipolar Disorder, Depressed, with Psychotic Features;  
Bipolar Disorder NOS; Major Depression, Single Episode,  
with Psychotic Features; Personality Disorders, Paranoid;  
5 Personality Disorders, Schizoid; Personality Disorders,  
Schizotypal; Personality Disorders, Antisocial; Personality  
Disorders, Borderline; Hebephrenic Schizophrenia; Post-  
Schizophrenic Depression; Delusional Disorder; and Other  
Persistent Delusional Disorders.

10 More preferredly, 2-methyl-4-(4-methyl-1-  
piperazinyl)-10H-thieno-[2,3-b][1,5]benzodiazepine is used  
to treat the following pathologic psychological conditions  
including Schizophrenia, Catatonic, Subchronic;  
Schizophrenia, Catatonic, Chronic; Schizophrenia,  
15 Catatonic, Subchronic with Acute Exacerbation;  
Schizophrenia, Catatonic, Chronic with Acute Exacerbation;  
Schizophrenia, Catatonic, in Remission; Schizophrenia,  
Catatonic, Unspecified; Schizophrenia, Disorganized,  
Subchronic; Schizophrenia, Disorganized, Chronic;  
20 Schizophrenia, Disorganized, Subchronic with Acute  
Exacerbation; Schizophrenia, Disorganized, Chronic with  
Acute Exacerbation; Schizophrenia, Disorganized, in  
Remission; Schizophrenia, Disorganized, Unspecified;  
Schizophrenia, Paranoid, Subchronic; Schizophrenia,  
25 Paranoid, Chronic; Schizophrenia, Paranoid, Subchronic with  
Acute Exacerbation; Schizophrenia, Paranoid, Chronic with  
Acute Exacerbation; Schizophrenia, Paranoid, in Remission;  
Schizophrenia, Paranoid, Unspecified; Schizophrenia,  
Undifferentiated, Subchronic; Schizophrenia,  
30 Undifferentiated, Chronic; Schizophrenia, Undifferentiated,  
Subchronic with Acute Exacerbation; Schizophrenia,  
Undifferentiated, Chronic with Acute Exacerbation;  
Schizophrenia, Undifferentiated, in Remission;  
Schizophrenia, Undifferentiated, Unspecified;  
35 Schizophrenia, Residual, Subchronic; Schizophrenia,  
Residual, Chronic; Schizophrenia, Residual, Subchronic with  
Acute Exacerbation; Schizophrenia, Residual, Chronic with

Acute Exacerbation; Schizophrenia, Residual, in Remission;  
 Schizophrenia, Residual, Unspecified; Delusional (Paranoid)  
 Disorder; Brief Reactive Psychosis; Schizophreniform  
 Disorder; Schizoaffective Disorder; Induced Psychotic  
 5 Disorder; Psychotic Disorder NOS (Atypical  
 Psychosis); Bipolar Disorder, Mixed, with Psychotic  
 Features; Bipolar Disorder, Manic, with Psychotic Features;  
 Bipolar Disorder, Depressed, with Psychotic Features;  
 Bipolar Disorder NOS; Major Depression, Single Episode,  
 10 with Psychotic Features; Personality Disorders, Paranoid;  
 Personality Disorders, Schizoid; Personality Disorders,  
 Schizotypal; Personality Disorders, Antisocial; Personality  
 Disorders, Borderline; Hebephrenic Schizophrenia; Post-  
 Schizophrenic Depression; Delusional Disorder; and Other  
 15 Persistent Delusional Disorders.

Examples of conditions which are most  
 preferredly treated using 2-methyl-4-(4-methyl-1-  
 piperazinyl)-10H-thieno-[2,3-b][1,5]benzodiazepine include  
 Schizophrenia, Catatonic, Subchronic; Schizophrenia,  
 20 Catatonic, Chronic; Schizophrenia, Catatonic, Subchronic  
 with Acute Exacerbation; Schizophrenia, Catatonic, Chronic  
 with Acute Exacerbation; Schizophrenia, Catatonic, in  
 Remission; Schizophrenia, Catatonic, Unspecified;  
 Schizophrenia, Disorganized, Subchronic; Schizophrenia,  
 25 Disorganized, Chronic; Schizophrenia, Disorganized,  
 Subchronic with Acute Exacerbation; Schizophrenia,  
 Disorganized, Chronic with Acute Exacerbation;  
 Schizophrenia, Disorganized, in Remission; Schizophrenia,  
 Disorganized, Unspecified; Schizophrenia, Paranoid,  
 30 Subchronic; Schizophrenia, Paranoid, Chronic;  
 Schizophrenia, Paranoid, Subchronic with Acute  
 Exacerbation; Schizophrenia, Paranoid, Chronic with Acute  
 Exacerbation; Schizophrenia, Paranoid, in Remission;  
 Schizophrenia, Paranoid, Unspecified; Schizophrenia,  
 35 Undifferentiated, Subchronic; Schizophrenia,  
 Undifferentiated, Chronic; Schizophrenia, Undifferentiated,  
 Subchronic with Acute Exacerbation; Schizophrenia,



Undifferentiated, Chronic with Acute Exacerbation;  
Schizophrenia, Undifferentiated, in Remission;  
Schizophrenia, Undifferentiated, Unspecified;  
Schizophrenia, Residual, Subchronic; Schizophrenia,  
5 Residual, Chronic; Schizophrenia, Residual, Subchronic with  
Acute Exacerbation; Schizophrenia, Residual, Chronic with  
Acute Exacerbation; Schizophrenia, Residual, in Remission;  
Schizophrenia, Residual, Unspecified; Delusional (Paranoid)  
Disorder; Brief Reactive Psychosis; Schizophreniform  
10 Disorder; Schizoaffective Disorder; Personality Disorders,  
Schizoid; Personality Disorders, Schizotypal; Hebephrenic  
Schizophrenia; and Post-Schizophrenic Depression.

Examples of anxiety disorders which may more  
preferredly be treated using an effective amount of 2-  
15 methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b]-  
[1,5]benzodiazepine, or an acid addition salt thereof,  
include Psychoactive Substance Anxiety Disorder; Organic  
Anxiety Disorder; Obsessive Compulsive Disorder; Post-  
traumatic Stress Disorder; Generalized Anxiety Disorder;  
20 and Anxiety Disorder NOS.

Examples of the anxiety disorders which are most  
preferredly treated using 2-methyl-4-(4-methyl-1-  
piperazinyl)-10H-thieno-[2,3-b][1,5]benzodiazepine include  
Organic Anxiety Disorder; Obsessive Compulsive Disorder;  
25 Post-traumatic Stress Disorder; Generalized Anxiety  
Disorder; and Anxiety Disorder NOS.

As mentioned above, 2-methyl-4-(4-methyl-1-  
piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine has shown  
a high level of activity in the clinical evaluation of  
30 psychiatric patients suffering from schizophrenia, and it  
exhibits this high activity at surprisingly low dosage  
levels. The dosage levels have been found to be lower than  
would be expected from observations of the compound made in  
initial tests on animal models. Its response profile in  
35 patients follows that of known antipsychotic agents when  
they have been used successfully, there being a clear  
similarity between the performance of the compound and that

of known antipsychotic agents in its ratings on the major assessment scales such as Brief Psychiatric Rating Scale (BPRS), and Clinical Global Impression (CGI).

In the first completed open (as opposed to  
5 blind) study of 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine in schizophrenic patients, six out of eight patients who completed at least 2 weeks of treatment showed between 66% and 87% improvement at 4 weeks, as assessed on BPRS scale, at daily dosages between  
10 5 and 30 mg. Preliminary results from a further three ongoing clinical trials now appear to confirm this high level of efficacy and at doses lower than or at the low end of the dosage level used in the first study, for example, at 2.5 and 5 mg per day.

15 Moreover, although some patients have exhibited increases in hepatic enzyme levels, no patient treated to date has experienced clinically significant hepatic disease. Plasma levels of creatinine phosphokinase (CPK) are lower than with flumezapine, indicating a lower adverse  
20 effect on muscular tissue. Furthermore, 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine causes lower elevation of prolactin levels than other currently used neuroleptic drugs and this suggests fewer disturbances of the menstrual cycle, and less gynecomastia  
25 and galactorrhea. No substantial clinically significant alteration of white blood cell count has been observed in clinical studies.

In dog toxicity studies with a closely analogous compound, 2-ethyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine, at a dosage of 8 mg/kg,  
30 it was observed that four out of eight dogs showed a significant rise in cholesterol levels, whereas 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine did not show any rise in cholesterol  
35 levels.

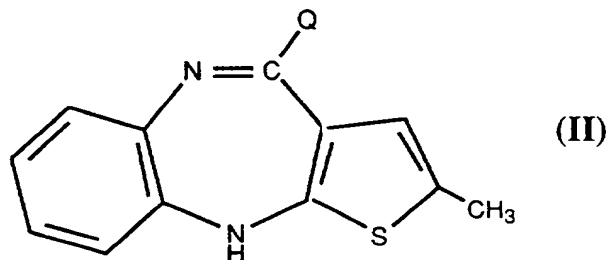
Overall, therefore, in clinical situations, 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b]-

[1,5]benzodiazepine shows marked superiority, and a better side effects profile than prior known antipsychotic agents, and has a highly advantageous activity level.

The compound 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine can be used both in its free base and acid addition salt forms. The acid addition salts are preferably the pharmaceutically acceptable, non-toxic addition salts with suitable acids, such as those of inorganic acids, for example hydrochloric, hydrobromic, nitric, sulphuric or phosphoric acids, or of organic acids, such as organic carboxylic acids, for example glycollic, maleic, hydroxymaleic, fumaric, malic, tartaric, citric or lactic acid, or organic sulphonc acids for example methane sulphonc, ethane sulphonc, 2-hydroxyethane sulphonc, toluene-p-sulphonc or naphthalene-2-sulphonc acid. In addition to pharmaceutically acceptable acid addition salts, other acid addition salts are included in the invention, for example, those with picric or oxalic acid, since they have potential to serve as intermediates in purification or in the preparation of other, for example, pharmaceutically acceptable, acid addition salts, or are useful for identification, characterization or purification of the free base.

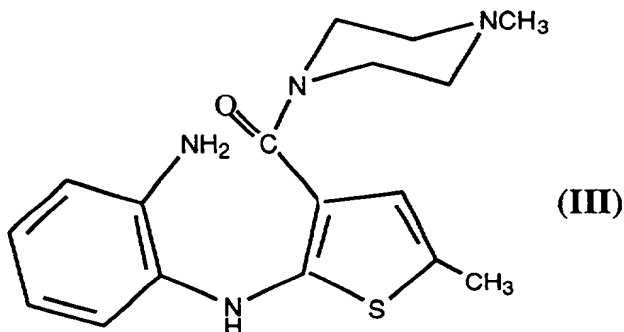
According to a further aspect of the invention there is provided a process for producing a compound of formula (I) or an acid addition salt thereof, which comprises

(a) reacting N-methylpiperazine with a compound of the formula



in which Q is a radical capable of being split off, or

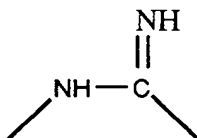
(b) ring-closing a compound of the formula



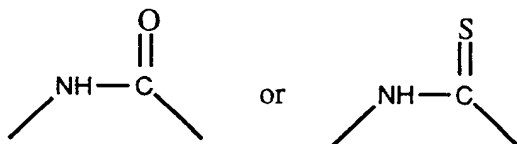
Appropriate reaction conditions and suitable values of Q can readily be chosen for these processes.

In reaction (a) the radical Q can, for example, be an amino group or a mono- or dialkyl-substituted amino group (each alkyl substituent suitably containing 1 to 4 carbon atoms), hydroxyl, thiol, or an alkoxy, alkylthio or alkylsulphonyl group suitably containing 1 to 4 carbon atoms, for example a methoxy or methylthio group, or a halogen atom, especially a chlorine atom. Preferably, Q is amino (-NH<sub>2</sub>), hydroxyl or thiol, and amino is most preferred. The reaction is preferably carried out at a temperature of from 50°C to 200°C.

When Q is amino, the intermediate of formula (II) may also exist in the imino form:



and when Q is hydroxyl or thiol, the intermediates of formula (II) may exist in their amide and thioamide forms:

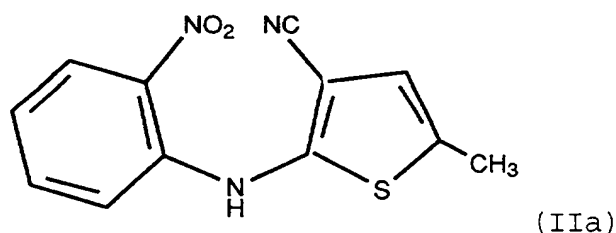


The amidine of formula (II) (Q is  $\text{-NH}_2$ ), can be in salt form, for example a salt of a mineral acid such as the hydrochloride, and can be reacted with N-methylpiperazine in an organic solvent such as anisole, toluene, dimethylformamide or dimethyl-sulphoxide, preferably at a temperature range of 100 to 150°C.

The amidine is prepared by condensing a thiophene compound of formula



with an ortho-halonitrobenzene, in the presence of a base, for example sodium hydride, in a solvent such as tetrahydrofuran or n-butyl lithium in tetrahydrofuran, or potassium carbonate or lithium hydroxide in dimethylsulphoxide or aqueous sodium hydroxide in dimethylsulfoxide, or with a tetraalkyl-ammonium salt in a two-phase system, to form a nitronitrile of formula:



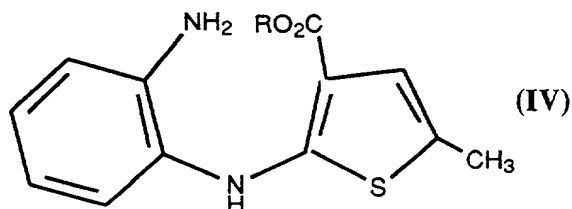
which can be simultaneously reduced and ring-closed to the amidine of formula (II) employing, for example, stannous chloride and hydrogen chloride in aqueous ethanol or, alternatively by reduction with hydrogen and palladium/carbon or ammonium polysulphide followed by acid-catalysed ring closure. The intermediate of formula (IIa) may be isolated using ammonium chloride ( $\text{NH}_4\text{Cl}$ ) or ammonium acetate ( $\text{NH}_4\text{OAc}$ ).

When Q is hydroxyl, reaction (a) is preferably carried out in the presence of titanium tetrachloride which has the ability to react with the N-methylpiperazine to form a metal amine complex. Other metal chlorides such as those of zirconium, hafnium or vanadium may also be employed. The reaction can be carried out in the presence of an acid binding agent such as a tertiary amine, for example, triethylamine.

Alternatively, the reaction can be carried out using excess of N-methylpiperazine to act as an acid-binding agent. A suitable organic solvent such as toluene or chlorobenzene can be used as a reaction medium, although the use of anisole is particularly desirable, at least as a co-solvent, in view of its ability to form a soluble complex with  $TiCl_4$ .

If desired, elevated temperatures, for example up to  $200^{\circ}C$ , can be used to hasten the reaction and a preferred temperature range for carrying out the reaction is from  $80^{\circ}C$  to  $120^{\circ}C$ .

The intermediate amide of formula (II) (Q is -OH) can be prepared from the corresponding amidine (Q is  $-NH_2$ ) by alkaline hydrolysis, or can be derived from compounds of formula



in which R is an ester group, preferably C<sub>1</sub>-4 alkyl, by ring closure employing, for example, sodium methylsulphinyll methanide in a suitable solvent such as dimethylsulphoxide. Alternatively, the amide can be prepared by ring closure of an amino-acid, employing for example dicyclohexylcarbodiimide (DCC) in a suitable solvent such as tetrahydrofuran. The amino-acid can be obtained for

example from the above esters by basic hydrolysis using for example sodium hydroxide in ethanol.

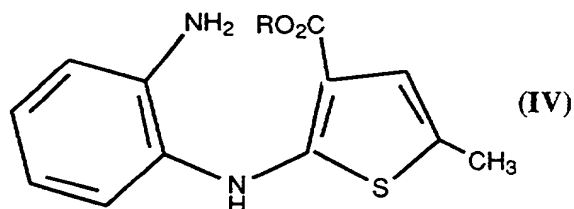
Thioamides of formula (II) (Q is -SH), iminothio-ethers, iminoethers or iminohalides, or other derivatives containing active Q radicals as specified above, tend to be more reactive towards N-methylpiperazine and can usually be reacted without the necessity for the presence of  $\text{TiCl}_4$ , but otherwise employing the same conditions of temperature and solvent.

The thioamide of formula (II) (Q is -SH) can be prepared by treating a solution of the corresponding amide in an anhydrous basic solvent, such as pyridine, with phosphorous pentasulphide. Similarly, the amide can be converted to the iminothioether, iminoether or iminohalide, or other derivatives containing active Q radicals, by treatment with conventional reagents such as for example in the case of the iminochloride, phosphorous pentachloride.

The intermediate compounds of formula (II) in which Q is a radical capable of being split off, particularly those in which Q is  $-\text{NH}_2$ ,  $-\text{OH}$  or  $-\text{SH}$  and when Q is  $-\text{NH}_2$  salts thereof, are novel compounds, and form a further aspect of the present invention.

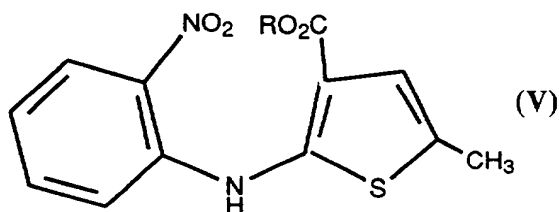
With regard to reaction (b) above, the compound of formula (III) may be ring-closed by employing, for example, titanium tetrachloride as catalyst and anisole as solvent, and the reaction is preferably carried out at a temperature of  $100^\circ\text{C}$  to  $250^\circ\text{C}$ , for example from  $150^\circ\text{C}$  to  $200^\circ\text{C}$ .

The intermediate compound of formula (III) is preferably prepared in situ without isolation by reacting a compound of formula



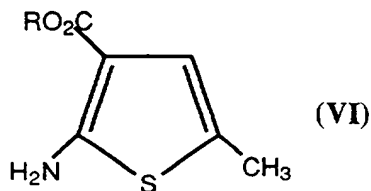
in which R is an ester group, preferably C<sub>1-4</sub> alkyl, with N-methylpiperazine, by heating to a temperature of between 30°C and 120°C, for example about 100°C, in a suitable solvent such as for example anisole, and employing TiCl<sub>4</sub> as catalyst.

The compound of formula (IV) can be prepared from the corresponding nitro compound of formula



Such compounds of formula (V) in which R is an ester group, such as for example C<sub>1-4</sub> alkyl, are novel and form a further aspect of the invention.

If convenient this nitro compound can be converted to the amine of formula (IV) without isolation, before reaction with N-methylpiperazine. Intermediate compounds of formula (V) can be made by condensation of a thiophene of formula



with an ortho-halonitrobenzene, preferably ortho fluoro- or chloro- nitrobenzene, in the presence of a base, for example, (a) sodium hydride in a solvent such as for example tetrahydrofuran and at a temperature of from -20°C to 30°C, or (b) anhydrous potassium carbonate or lithium hydroxide in a solvent such as dimethylsulphoxide at a temperature of from 90°C to 120°C. The compound of formula (V) is converted to that of formula (IV) by reduction, for



example catalytically, employing hydrogen and palladium/carbon, or chemically, employing stannous chloride and hydrogen chloride in aqueous ethanol, or ammonium polysulphide, or zinc in aqueous ammonium chloride.

It will be appreciated that the compound of formula (I) may be isolated per se or may be converted to an acid addition salt using conventional methods.

As mentioned above, 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine has useful central nervous system activity. This activity has been demonstrated in models using well-established procedures. For example, the compound has been assessed in a number of standard behavioral tests predictive of antipsychotic activity. It antagonized apomorphine-induced climbing behavioral and hypothermia in mice (Moore, N.A. et al. Psychopharmacology 94 (2), 263-266 (1988), and 96, 539 (1988)) at doses of less than 10 mg/kg. The compound also inhibited a conditioned avoidance response in rats (ED<sub>50</sub> 4-7 mg/kg), but unlike standard compounds, it induced catalepsy only at much higher doses (ED<sub>50</sub> 39.4 mg/kg). This separation between the doses required to block a conditioned avoidance response and to induce catalepsy indicates that the compound is less likely to induce extrapyramidal side effects in the clinic.

The compound 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine was also active at doses of less than 10 mg/kg in a test based on the apomorphine-induced climbing test referred to above, which measured the ability of the compound to prevent the disruption of climbing response produced by 24 hour pre-treatment with N-ethoxycarbonyl-2-ethoxy-1,2-dihydroquinoline (EEDQ), a dopamine receptor inactivating agent (Meller et al. Central D1 dopamine receptors, Plenum Press, 1988). This test shows that the compound possesses activity at both the D-1 and D-2 receptors.

The 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine compound was active in the multiple conflict schedule at doses of less than 5 mg/kg. Moore, Nicholas A. et al., The Journal of Pharmacology and Experimental Therapeutics, 545-551, 262:2 (1992). The test measures characteristic changes in rates of responding associated with anxiolytic agents. The conflict procedure results are indicative of favorable anxiolytic activity.

The conflict procedure used was based on the method of Geller and Seifter, Psychopharmacologia 1: 482-492, (1960). Rats were trained on a multiple schedule consisting of three components. Individual components were as follows: 1) for 9 minutes, lever pressing was reinforced on a variable interval 30 second schedule (VI 30, reward). This period was signaled by illumination of the houselight alone. 2) During the following 3-minute period, lever presses were recorded but had no programmed consequence (time-out). 3) Lever pressing was reinforced according to a fixed ratio 10 second food presentation (FR10) for 3 minutes; however, each reinforced response was accompanied by an electric current (0.5 mA) being applied to the grid floor for 500 msec (conflict). This component was signaled by illumination of the houselight and three cue lights on the front panel. This sequence of three components (reward/time-out/conflict) was presented twice in the same order during the daily 30 minute session. Animals were given extensive training on this schedule until the following criteria had been satisfied: 1) rates of responding during the individual VI30 components did not differ by more than 10%; 2) rates of responding during time-out and conflict were less than 10% of the rate during the VI component; and 3) the above criteria were satisfied for a period of five days.

After the training procedure, drug testing was initiated. During this period, the animals were dosed orally with either test compounds or vehicle in a randomized order 60 minutes before testing. At least two

drug-free training days occurred between test sessions. This test indicates that the compound has anxiolytic properties which are not observed with typical antipsychotic agents. Spealman *et al.*, J. Pharmacol. Exp. Ther., 212:435-440, 1980.

In addition, 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine has been found to have a favorable profile of activity in a number of in vitro binding assays, designed to measure the degree of binding to neural receptors.

In keeping with the observations made in the behavioral tests, the compound is active at both the dopamine D-1 and D-2 receptors as indicated by an IC<sub>50</sub> of less than 1  $\mu$ M in the <sup>3</sup>H-SCH23390 (Billard, W. *et al.* Life Sciences 35 1885 (1984)) and the <sup>3</sup>H-spiperone (Seeman, P. *et al.* Nature 261 717 (1976)) binding assays respectively.

The compound has an IC<sub>50</sub> of less than 1  $\mu$ M in the <sup>3</sup>H-QNB binding assay described by Yamamura, HI and Snyder, SH in Proc.Nat.Acad.Sci. USA 71 1725 (1974) indicating that it has antimuscarinic-anticholinergic activity. In addition, the compound shows its greatest activity at the 5-HT-2 receptor in that it displaces H-spiperone from binding sites in the rat frontal cortex (Peroutka, SJ and Snyder, SH Mol. Pharmacol. 16 687 (1979)) at low nanomolar concentrations. The compound is also active at the 5-HT-1C receptor.

This profile of activity in in vitro receptor binding assays, like that observed in the behavioral tests, would indicate that the compound is effective in the treatment of psychotic conditions but is less likely to induce extrapyramidal side-effects. The behavioral tests and in vitro binding assays indicate that the compound is an effective anxiolytic agent and is useful for the treatment of other pathologic psychological conditions.

The 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine compound is effective over a wide dosage range, the actual dose administered being

dependent on the condition being treated. For example, in the treatment of adult humans, dosages of from 0.25 to 30 mg, preferably from 1 to 20 mg, per day may be used. A once a day dosage is normally sufficient, although divided  
5 doses may be administered. For treatment of psychotic disorders a dose range of from 1 to 20 mg, preferably 2.5 to 15 mg per day is suitable, whereas for mild anxiety states a lower dosage range, such as from 0.25 to 5 mg, preferably 1 to 5 mg, may be more appropriate. In choosing  
10 a suitable regimen for patients suffering from psychotic illness it may frequently be necessary to begin with a dosage of from 1 to 20 mg per day and when the illness is under control to reduce to a dosage as low as 1 mg per day. In studies using radiolabelled compound of the invention,  
15 residues have been detected in the saliva and thus the compound can potentially be monitored in patients to assess compliance.

The 2-methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine compound will normally be  
20 administered orally or by injection and, for this purpose, it is usually employed in the form of a pharmaceutical composition.

Accordingly the invention includes a pharmaceutical composition comprising as active ingredient  
25 a compound of formula (I) or a pharmaceutically acceptable acid addition salt thereof, associated with a pharmaceutically acceptable carrier. In making the compositions of the invention conventional techniques for the preparation of pharmaceutical compositions may be used.  
30 For example, the active ingredient will usually be mixed with a carrier, or diluted by a carrier, or enclosed within a carrier which may be in the form of a capsule, sachet, paper or other container. When the carrier serves as a diluent, it may be solid, semi-solid or liquid material  
35 which acts as a vehicle, excipient or medium for the active ingredient. The active ingredient can be adsorbed on a granular solid container for example in a sachet. Some

examples of suitable carriers are lactose, dextrose, sucrose, sorbitol, mannitol, starches, gum acacia, calcium phosphate, alginates, tragacanth, gelatin, syrup, methyl cellulose, methyl- and propyl-hydroxy-benzoate, talc, magnesium stearate or mineral oil. The compositions of the invention may, if desired, be formulated so as to provide quick, sustained or delayed release of the active ingredient after administration to the patient.

Depending on the method of administration, the compositions may be formulated as tablets, granules, capsules, depot formulation, injection solutions for parenteral use, gel or suspension for transdermal delivery, suspensions or elixirs for oral use or suppositories. Preferably the compositions are formulated in a dosage unit form, each dosage containing from 0.25 to 30 mg, more usually 1 to 20 mg, of the active ingredient. A preferred formulation of the invention is a capsule or tablet comprising 0.25 to 30 mg or 1 to 20 mg of active ingredient together with a pharmaceutically acceptable carrier therefor. A more preferred formulation is a tablet comprising 1, 2.5, 5, 7.5 or 10 mg of active ingredient together with a pharmaceutically acceptable carrier therefor. A further preferred formulation is an injection which in unit dosage form comprises 0.25 to 30 mg or 1 to 20 mg of active ingredient together with a pharmaceutically acceptable diluent therefor. A type of injection formulation that is especially desirable is a sustained release formulation for intra-muscular injection. Another preferred formulation is a granule formulation. The granule formulation may serve as a reconstitutable solid.

The invention is illustrated by the following Examples.

EXAMPLE 11. 2-Amino-5-methylthiophene-3-carbonitrile

A mixture of sulfur (217.8 g, 6.79 mol), propional-dehyde (472.5 g, 587 mL, 8.13 mol) and dimethylformamide (1350 mL) was placed in a 5 litre flange-necked flask fitted with air stirrer, air condenser, long reach thermometer and dropping funnel. Triethylamine (576 mL, 4.13 mol) was added dropwise over 30 minutes to the cooled stirred reaction mixture whilst maintaining the pot temperature between 5-10°C with an ice-bath. After addition was complete the pot was allowed to warm up to 18°C over 50 minutes, keeping the mixture well stirred. Then a solution of malononitrile (450 g, 6.8 mol) in dimethylformamide (900 mL) was added dropwise over 70 minutes keeping the pot temperature around 20°C throughout the addition. After addition was complete the mixture was stirred at 15-20°C for a further 45 minutes then sampled for TLC. The mixture was then poured onto ice (4 litres)/water (8 litres) with stirring and this caused the required product to precipitate. After 10 minutes the stirrer was switched off and the solid allowed to settle. The aqueous liquor was decanted away and the solid isolated by filtration. The isolated solid was well washed with water (de-ionized, 4 litres), then dried over night in vacuo at 70-75°C to give the title compound (585 g), m.p. 100°C.

2. 2-(2-Nitroanilino)-5-methylthiophene-3-carbonitrile

To a stirred slurry of sodium hydride (14.4 g, 50% dispersion in oil, 0.3 mol) in dry tetrahydrofuran (50 mL) under nitrogen was added, dropwise, a solution of 2-fluoro-nitrobenzene (28.2 g, 0.2 mol) and 2-amino-5-methylthiophene-3-carbonitrile (27.6 g, 0.2 mol) in dry tetrahydrofuran (250 mL). The mixture was stirred at 25°C for 24 hours, poured onto cracked ice and extracted into dichloromethane (3 x 500 mL). The combined extracts were

washed with 2N hydrochloric acid (2 x 200 mL), water (2 x 200 mL), dried over magnesium sulfate and the solvent removed under reduced pressure. The residue was crystallized from ethanol to give the title compound, (35.2 g), m.p. 99-102°C.

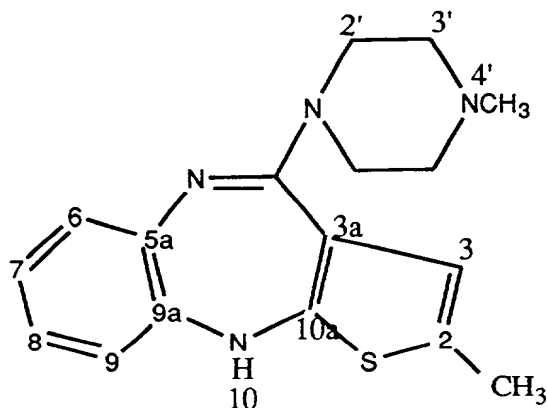
3. 4-Amino-2-methyl-10H-thieno[2,3-b][1,5]benzodiazepine, hydrochloride

To a stirred slurry of 2-(2-nitroanilino)-5-methyl-thiophene-3-carbonitrile (3 g, 0.011 mol) in ethanol (35 mL) at 50°C was added, over 10 minutes, a solution of anhydrous stannous chloride (6.95 g, 0.037 mol) in hydrochloric acid (26 mL, 5M). The mixture was stirred under reflux for 1 hour, concentrated under reduced pressure and allowed to crystallize over night at 5°C. The salt was filtered, washed with a small amount of water, dried (4.3 g) m.p. >250°C, and used without further purification in the next stage.

4. 2-Methyl-4-(4-methyl-1-piperazinyl)-10H-thieno[2,3-b][1,5]benzodiazepine

Crude 4-amino-2-methyl-10H-thieno[2,3-b][1,5]benzo-diazepine, hydrochloride (4.3 g) was refluxed in a mixture of N-methylpiperazine (15 mL), dimethylsulphoxide (20 mL) and toluene (20 mL) under a nitrogen atmosphere for 20 hours. The mixture was cooled to ca. 50°C, water (20 mL) added, and the product allowed to crystallize at 5°C over night. The product was filtered and crystallized from acetonitrile (30 mL) to give the title compound (1.65g) m.p. 195°C.

The structure of the compound was confirmed spectroscopically:



$^1\text{H}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  2.30 (3H, s, 4'- $\text{CH}_3$ ), 2.28 (3H, s, 2- $\text{CH}_3$ ), 2.45 (4H, m, 3'- $\text{CH}_2$ ) 3.49 (4H, m, 2'- $\text{CH}_2$ ), 5.00 (H, broad s, 10-NH), 6.23 (H, broad s, 3-CH), 6-35-7-10 (4H, m, 6,7,8,9-H).

$^{13}\text{C}$  NMR ( $\text{CDCl}_3$ ):  $\delta$  128.5 (s, C-2), 127.8 (d, C-3), 119.1 (s, C-3a), 157.4 (s, C-4) 140.8 (s, C-5a), 123.4, 122.6, 124.1 (d, C-6,7,8), 118.8 (d, C-9), 142.5 (s, C-9a), 151.8 (s, C-10a), 46.5 (t, 2'-C), 54.8 (t, 3'-C) 45.9 (q, -4'-C), 15.2 (q, 2-Me).

Mass spectra shows an  $\text{M}^+$  of 312 and major fragment ions of  $m/z$  255, 242, 229 and 213.

## EXAMPLE 2

### 1. Methyl 2-amino-5-methylthiophene-3-carboxylate

To a stirred mixture of methyl cyanoacetate (3.9 g, 0.04 mol), sulfur (1.26 g, 0.04 mol) and triethylamine (3.2 mL, 0.02 mol) in dry methylformamide (12 mL) under a nitrogen atmosphere at  $45^\circ\text{C}$  was added, dropwise, a solution of freshly distilled propionaldehyde (2.5 g, 0.043 mol) in dry dimethylformamide (2 mL), keeping the temperature at  $45-47^\circ\text{C}$ . The mixture was stirred at  $45^\circ\text{C}$  for 1.5 hours, then partitioned between water and ethyl acetate. The organic extract was washed with water, dried and evaporated. The title compound was purified by chromatography on neutral alumina, eluting with chloroform-hexane (4.8 g).



2. Methyl 2-(2-nitroanilino)-5-methylthiophene-3-carboxylate

To a stirred suspension of sodium hydride (2 g) in dry tetrahydrofuran (25 mL) under a nitrogen atmosphere was added a solution of methyl 2-amino-5-methylthiophene-3-carboxylate (4.8 g, 0.028 mol) and 2-fluoronitrobenzene (4.0 g, 0.025 mol) in dry tetrahydrofuran (30 mL). The mixture was stirred at 25°C for 20 hours, poured onto ice and partitioned between 2N hydrochloric acid and ethyl acetate. The organic extracts were dried over magnesium sulfate, the solvent was evaporated under reduced pressure and the title compound purified by chromatography on silica gel, eluted with toluene, and crystallized from ethanol (4.1 g).

3. 2-Methyl-10-(4-methyl-1-piperazinyl)-4H-thieno[2,3-b][1,5]-benzodiazepine

Methyl 2-(2-nitroanilino)-5-methylthiophene-3-carboxylate (3.7 g, 0.0013 mol) was hydrogenated in a Parr apparatus at 60 psi in ethanol-ethyl acetate (2:1, 150 mL) with palladium on charcoal catalyst (10%, 200 mg). After removal of catalyst and solvent the crude diamino-ester was dissolved in a mixture of N-methylpiperazine (21 mL) and anisole (55 mL). To this solution, under a nitrogen atmosphere was added, with stirring, a solution of titanium tetrachloride (3.45 mL) in anisole (15 mL). The mixture was stirred at 100°C for 1 hour, then under reflux for 48 hours to effect ring closure of 1-{{[2-(2-amino anilino)-5-methylthiophen-3-yl]carbonyl}-4-methylpiperazine.

After allowing to cool to 80°C a mixture of 30% ammonia solution (10 mL) and isopropanol (10 mL) was cautiously added, followed by ethyl acetate (25 mL). The inorganic precipitate was removed by filtration and the filtrate washed with water (3 x 25 mL), dried with magnesium sulfate and the solvent removed under reduced

pressure. The product was purified by chromatography on Florisil, eluted with ethyl acetate and finally crystallized from acetonitrile (40 mL) to give the title compound (2.32 g), identical with that described above.

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**EXAMPLE 3**

A pulvule formulation is prepared by blending the active with silicone starch, and filling it into hard gelatin capsules.

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	Per 300 mg capsule
Compound of the invention	5.0 mg
Silicone	2.9 mg
Starch flowable	292.1 mg

**EXAMPLE 4**

A tablet formulation is made by granulating the active with appropriate diluent, lubricant, disintegrant and binder and compressing

15

Compound of the invention	5.0 mg
Magnesium stearate	0.9 mg
Microcrystalline cellulose	75.0 mg
Povidone	15.0 mg
Starch, directly compressible	204.1 mg

20

**EXAMPLE 5**

An aqueous injection of active is prepared as a freeze-dried plug, for reconstitution in a suitable, sterile 25 diluent before use (to a total volume of 10 ml).

25

Compound of the invention Mannitol N  
Hydrochloric acid and/or N sodium hydroxide to adjust pH to  
5-5.5.

Compound of the invention	20.0 mg
Mannitol	20.0 mg
N Hydrochloric acid and/or N sodium hydroxide to adjust pH to 5-5.5.	

5

**EXAMPLE 6**

A controlled release injection for intramuscular  
injection is formed from a sterile suspension of micronised  
active in an oleaginous vehicle.

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Compound of the invention	65.0 mg
Aluminium stearate	0.04 mg
Sesame oil	2 ml

**EXAMPLE 7**

A formulation is prepared by blending the active  
with silicone starch and starch, and filling it into hard  
gelatine capsules.

15

	Per 290 mg capsule
Compound of the invention	2.5 mg
Starch flowable with 0.96% silicone 220	217.5 mg
Starch flowable	70.0 mg

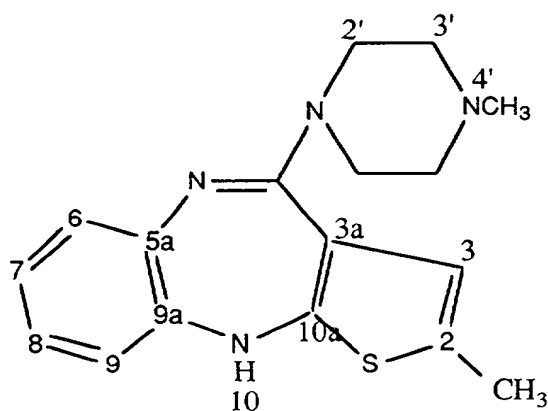
We Claim:

1. A method of treating a patient suffering from  
or susceptible to psychosis selected from the group  
5 consisting of Delirium, Organic Delusional Disorder, Multi-  
infarct dementia, with Delirium, Multi-infarct dementia,  
Uncomplicated, Multi-infarct dementia, with Delusions, Major  
Depression, Recurrent with Psychotic Features, and Organic  
Hallucinosiis comprising administering to a patient in need  
10 thereof an effective amount 2-methyl-4-(4-methyl-1-  
piperazinyl)-10H-thieno-[2,3-b][1,5]benzodiazepine, or an  
acid addition salt thereof.

2. A method of Claim 1 wherein the effective  
15 amount is from 1 to 20 mg per day of 2-methyl-4-(4-methyl-1-  
piperazinyl)-10H-thieno-[2,3-b][1,5]benzodiazepine, or an  
acid addition salt thereof.

ABSTRACT OF THE INVENTION

2-Methyl-4-(4-methyl-1-piperazinyl)-10H-thieno-  
[2,3-b][1,5]benzodiazepine, or an acid salt thereof, has  
pharmaceutical properties, and is of particular use in the  
treatment of disorders of the central nervous system. The  
compound has the following structure:



## DECLARATION AND POWER OF ATTORNEY

Docket No.G-1265E

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the invention entitled

2-METHYL-THIENO-BENZODIAZEPINE

which is described and claimed in the specification which:

(check [ ] is attached hereto.

one) [X] was filed on February 13, 1995

as United States Application Serial No.08/387,997  
or

PCT International Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS
07/690,143	April 23, 1991	Abandoned
07/890,348	May 22, 1992	Issued
08/044,844	April 8, 1993	Abandoned
08/387,997	February 13, 1995	Issued

h

**Power of Attorney:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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317-276-1665

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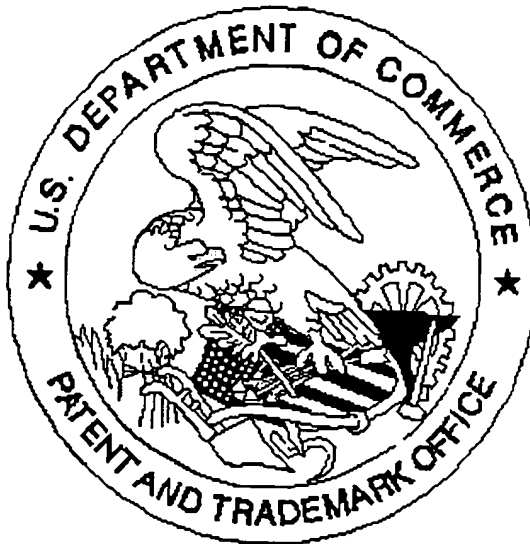
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